

Global Jury : Sixth Edition 2016/2017

Co-chairs of the Jury



Prof. **Paul H. Dembinski** is the initiator and Director of the Foundation of the Observatoire de la Finance. The mission of the Observatoire de la Finance is to promote awareness of ethical concerns in financial activities and the financial sector. Paul H. Dembinski is the founder and editor of the bilingual journal *Finance & the Common Good/Bien Commun*. In parallel, he is partner and co-founder (with Alain Schoenenberger) of Eco'Diagnostic, an independent economic research institute working for both government and private clients in Switzerland and elsewhere. Paul H. Dembinski is also Professor at University of Fribourg where he teaches "International Competition and Strategy". His latest published book is *Ethics and Responsibility in Finance*, Routledge, 2017.



Mrs. **Josina Kamerling** is Head of Regulatory Outreach at CFA Institute, responsible for supporting CFA Institute's policy development, in the Europe, Middle East, and Africa (EMEA) region, advancing the impact of advocacy efforts, and promoting capital market integrity and investor protection issues. Prior to joining CFA Institute, Josina was a Specialist Adviser on financial services in the European Parliament for six years, advising most significantly the Economic and Monetary Affairs Committee and the Special Committee on the Financial, Economic and Social Crisis on all aspects of financial services policy issues and technical information. Prior to this, Josina was a banker for 15 years in a variety of functions and locations, most notably as a senior banker in the global clients division of ING (managing a group of multinational clients on a worldwide basis and on all business lines) and prior to that as head of sales in the financial markets division of ING group (overseeing different sales teams in the dealing room). Josina holds a Bachelor of Arts with honours degree from Cambridge University in Law and modern languages.

Honorary Members of the Jury



Dr **Carol Cosgrove-Sacks**, Robin's mother, lives and works in Geneva. She is co-founder of the "Ethics in Finance, Robin Cosgrove Prize" which she co-chaired from 2005 to 2015. Dr Carol Cosgrove-Sacks was formerly Director of Trade in the United Nations in Geneva (1994-2005); since 2006 she is a Professor at the College of Europe, Bruges; a Professor at the Europa Institute, University of Basel; and the Senior Advisor on International Standards Policy to OASIS, the global eBusiness standards organisation. She also maintains interest in some British academic centres, including the Institute of Development Studies (IDS), University of Sussex, and the Centre for Euro-Asian Studies (CEAS), University of Reading.



The Most Reverend **Justin Welby**, Arch-Bishop of Canterbury and a member of the House of Lords, is an Emeritus Member of the Jury. He served as a member from 2006-2013. He was a senior executive in a UK oil company, before ordination in the Church of England. For many years, he was responsible for the reconciliation work of Coventry Cathedral, travelling widely in Africa and the Middle East. Justin Welby has written extensively on ethics and finance. In July 2012 he was appointed to the Parliamentary Commission on Banking Standards.

Members of the Jury



Stéphane Bernard, Managing Director, has been recently appointed CEO of the Euroclear Bank Poland Branch. He is also a member of the Board of Directors of the ESES (Euroclear Settlement of Euronext-zone Securities) central securities depositories (Euroclear Belgium, Euroclear France and Euroclear Nederland) and a member of the ESES Audit Committee. Prior to taking his current position, Mr Bernard was COO for the ESES CSDs as well as being part of its management committee. Mr Bernard was General Manager of Belgian central securities depository, from 2001 until 2006, when CIK joined the Euroclear group. Mr Bernard started his career as a broker dealer in 1989. He holds degrees in Commercial and Consular Sciences from the Saint Louis Institute of Commerce in Belgium, a degree in Marketing from Institut Supérieur Economique Ixelles and a Master in Treasury Management from the University Antwerp Management School



Mrs **Marie Casimiro-Crepin** is Compliance Officer Professional Ethics and Privacy BGL BNP Paribas (Luxembourg). She is a former winner of the Robin Cosgrove Prize, 2008-2009 edition. Lawyer by training (Master II at the Assas-Paris II University), Marie Casimiro-Crepin focused her final thesis on history of law, particularly of the budgetary law at the time of the “Ancien Régime”. In 2007 she started to work for Ethiea Gestion, a portfolio management enterprise which centers its work on an ethical and behavioural analysis of different societies.



Prof. **Marc Chesney** is Professor of Finance at the University of Zurich. Previously in Paris, he was Professor and Associate Dean at HEC, President of the CEBC (Centre d'Etudes sur le Blanchiment et la Corruption) and an external expert with the World Bank. He has published articles and books in quantitative finance and on financial crime mechanisms. In particular, he is interested in ethics and finance. At the University of Zurich, he is member of the Board of the Graduate Programme for interdisciplinary Research in Ethics and co-organizer of the Ethical Finance Research Series. He is also member of the advisory Board of Finance & Common Good/Bien Commun journal. Marc Chesney holds a Ph.D. in Finance from the University of Geneva and obtained his Habilitation from the Sorbonne University.



Mr **Christopher de Mattos** is a director of London-based investment manager RAB Capital Ltd. He has spent over 20 years in the financial services industry, working as a financial analyst and investment banker in Europe and Latin America. Christopher joined the founding team at RAB in 1999 and, as Finance Director, was instrumental in taking the company to flotation on London's AIM market in 2004. He holds a degree in Mechanical Engineering from Imperial College, London and gained SERC and Kitchener scholarships to study for his MBA at INSEAD. Having reduced his involvement in the day-to-day management of RAB, he has taken a particular interest in the role of the board in promoting corporate governance in financial services businesses. He is an INSEAD Certified Director and chairs the INSEAD Directors' Network.



Dr **Eduard Dommen** is a specialist in economic ethics. He is past President of the Scientific Committee of the Swiss Network of International Studies (www.ruig-gian.org); and was a member of the Scientific Committee of the Swiss Network of International Studies (www.snis.ch). He is a founding member of "Actares, Shareholders for a sustainable economy" (www.actares.ch). He was also a founder of the Ethics Committee of the Swiss Alternative Bank (Banque Alternative Suisse) and a member of the Board of Geneva's Caisse Publique de Prêts sur Gages as well as a member of the Council of the RAFAD Foundation, an institution that guaranteed micro-credit. Edward Dommen has been a university professor, but he spent most of his career before he retired as a researcher with the United Nations conference on Trade and Development (UNCTAD). He has written and edited several books on economic ethics.



Dr **Philippa Foster Back** has over 25 years of business experience. She began her career at Citibank NA before joining Bowater in their Corporate Treasury Department in 1979, leaving in 1988 as Group Treasurer. She was Group Finance Director at DG Gardner Group, a training organisation, prior to joining Thorn EMI in 1993 as Group Treasurer. She was appointed Institute of Business Ethics' Director in August 2001. Philippa Foster Back has a number of external appointments, including at the Ministry of Defence, The Institute of Directors and the Association of Corporate Treasurers, where she was President from 1999 to 2000. In 2006 she was appointed Chairman of the UK Antarctic Heritage Trust.



Mr **Peter Gakunu** was Executive Director at the International Monetary Fund in charge of Africa Group One Constituency. Before joining the Fund, he served as Permanent Secretary and Senior Advisor to the Kenya Government from 2003 to 2004. In 2000 he joined the "Dream Team", a team of high level personalities put together by the World Bank and the UNDP to advise the Kenya Government on economic reforms, including the development of an economic growth and poverty reduction strategy. Prior to that, he worked with the African Caribbean and Pacific Group in Brussels, first as the Trade Expert (1977 to 1986) and later as the Director Trade and Customs Division (1986 to 2000) at the ACP Secretariat.



Dr **Andrew Hilton** is Director of the Centre for the Study of Financial Innovation, a London-based non-profit think-tank, supported by 70 City institutions, that looks at threats to and opportunities in the global financial system. The CSFI was set up 20 years ago, and has since published several books and around 100 reports. More significantly, he has organized well over 1,300 round-tables on issues of pressing interest in the financial services sector – including EMU, the single European market, the Internet, small business finance, high-tech start-ups, microfinance and regulation. Dr. Hilton also runs a small economic and financial consultancy. He has worked for the World Bank in Washington and has run a financial advisory service for the Financial Times in New York. He has a PhD from the University of Pennsylvania, an MBA from Wharton and MBA from New College, Oxford.



Mrs. **Jo Iwasaki** is Head of Corporate Governance within Professional Insights, ACCA. Jo writes regularly on a wide range of corporate governance topics, including governance principles, board responsibilities, and corporate culture. She gives lectures to various audiences, including directors, professional accountants, academics, and students. A qualified accountant, she is trained in tax and audit. In addition to corporate governance, she also has extensive experience in business assurance



Prof. **Dominique Jacquet** is Visiting Scholar at Insead Social Innovation Center and Professor of Corporate Finance at Cedep, University of Paris Ouest and Ecole des Ponts ParisTech. He is a civil engineer (Ecole des Ponts), holds an MBA from Insead and a PhD from the University of Bordeaux. Before starting an academic career, he has been a finance executive in American and French corporations, holding controller, treasurer and CFO positions. His main areas of interests are the relationship with business and finance, the role of incentives in sustainable value creation and the link between uncertainty and financial strategy.



Prof. **Robin Jarvis** is Special Adviser to the European Federation of Accountants and Auditors (EFAA) and Professor of Accounting at Brunel University. He chairs the Advisory Panel of the Chartered Banker's Professional Banking Standards Board and the European Banking Authorities (EBA) Consumer Protection Group. For the last ten years he has been a member of European Commission's Expert Group the Financial Services User Group. Robin has researched and published on SMEs, accounting and banking for a number of years resulting in numerous publications and 10 books. His interest in SMEs and accounting has been recognised through his membership of the International Accounting Standards Board (IASB) SME specialists groups and his membership of the European Financial Reporting Advisory Group (EFRAG).



Dr **Hakan Lucius** is Head of Stakeholder Engagement, Transparency and Civil Society at the European Investment Bank, the European Union's bank and largest supra-national lender, where he covers the transparency and stakeholder engagement of the institution. Prior to that he was responsible for the financing of operations, ranging from large-scale infrastructure projects, structured operations, environmental investments to SME finance, both through loans and equity. Dr Lucius also serves as the President of the European Investment Bank's Pension Board. He is a member of the European Investment Bank Institute's Social Committee and of the Royal Institute of International Affairs, Chatham House. Dr Lucius received a Ph.D with distinctions in industrial economics, a MBA from INSEAD, France, and an MSc in Engineering from Vienna Technical University. He is also a Professor lecturing on Sustainability issues in Finance and on Cross-Cultural Management



Dr hab. **Róża Milic-Czerniak** is vice President of the Banking Ethics Commission at the Polish Banking Association and is an associate professor at the private economic university in Warsaw. Previously, she has held a number of managing positions in one of the largest banks in Poland, where she was responsible for developing capital management, product management and client profitability. Prior to joining the bank, she worked at the Institute of Philosophy and Sociology of the Polish Academy of Science in Warsaw, where she researched economic behavior of households, including in periods of transition. She is the author of several books and research papers and spent one and a half year in Germany at the Hohenheim University in Stuttgart and University of Kiel.



Mr **Ross Murdoch** is a lawyer at the Financial Conduct Authority (FCA) in London, within its Enforcement and Market Oversight Division. Ross specialises in regulatory enforcement proceedings, particularly within wholesale financial markets, including market abuse, benchmark manipulation (e.g. LIBOR), and other market misconduct. Prior to working at the FCA, he worked as a lawyer at a commercial law firm within its Commercial Dispute Resolution & Regulatory practice in London. He is dual qualified as a solicitor in England & Wales (2011) and Scotland (2010). In September 2015, Ross was awarded first prize in the Global Edition of the Ethics in Finance Prize (2014/2015).



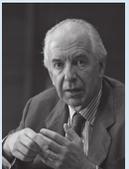
Mrs **Clare Payne** is the founder and Board Member of The Banking and Finance Oath and is a St James Ethics Centre Consulting Fellow for Ethics in Banking and Finance. Clare also holds the professional position of Director of Business Partnerships for Good to Great Schools Australia, an organisation focused on education reform, lead by Australian Indigenous Leader Noel Pearson. Clare previously managed the Integrity Office of Macquarie Bank and is a World Economic Forum Young Global Leader. Clare was awarded the Inaugural Robin Cosgrove Prize for Ethics in Finance, Geneva, and in 2011 she was nominated in the FINSIA Pinnacle Awards category for 'Most Outstanding Thought Leader' in Financial Services. Clare commenced her career as a lawyer having completed a Bachelor of Laws and Mass Communications.



Mr **Jean-Christophe Pernellet** is Chief Audit Executive of the Edmond de Rothschild Group, after having been its Chief Financial Officer. Earlier in his career he was the partner in charge of the Geneva office of PricewaterhouseCoopers. He is a graduate from IEP and EDHEC and has completed the Columbia Business School Senior Executive Program. As a former Lead bank auditor recognised by the Swiss Regulator FINMA, he is a financial services industry specialist and benefits from more than twenty years of working experience, of which three in Paris and two in New York. He was Chief Financial Officer of EFG International between 2010 and 2012.



Mr **Charles Pictet** acted as a financial regulator in Switzerland from 2005 until 2012 in his capacity as a member of the board of FINMA. Prior to this, he worked at Pictet & Cie, a Geneva-based Swiss private bank, where he spent 25 years as a partner and senior partner. He was President of the Geneva Private Bankers Association, Vice President of the Swiss Private Bankers Association, and a member of the Board of the Swiss Bankers Association. He also served as Vice President of *economiesuisse*. He is currently Vice President of the International Red Cross and Red Crescent Museum in Geneva (Conseil de Fondation), and a member of the Board of Europa Nostra. He holds an MBA from the University of St Gallen.



Mr **Domingo Sugranyes** graduated from the University of Fribourg (Switzerland) in 1969. He was Secretary General of the International Christian Union of Business Executives (UNIAPAC) based in Brussels from 1973 to 1981. He moved to Madrid in 1981 and joined the MAPFRE insurance group, a Spanish agricultural mutual which was at the time starting to grow internationally and has developed into a multinational insurance and reinsurance group now present in 44 countries. As one of the vice-chairmen of the group and Executive Committee member, he participated in the internationalisation and the demutualisation process and was in charge of Finance and Economic affairs. After retiring from executive office in 2008, he remained on the Board of the MAPFRE Foundation until reaching the statutory age limit of 70 in 2015. Since 2009 he is Chairman of the Centesimus Annus pro Pontifice Foundation, a Vatican body dedicated to spreading and debating Catholic social teachings in economic and business circles.

<http://www.ethicsinfinance.org/about-us/the-jury/>